



Australian Government

Australian Radiation Protection and Nuclear Safety Agency

CODE

Radiation Protection in Planned Exposure Situations

Public consultation draft – Round 2 – 16 September 2016

Submissions close 9.00am Monday 10 October 2016

Email: national_uniformity@arpansa.gov.au

All submissions will be held in a register of submissions, and unless marked confidential, may be made public.

Radiation Protection Series C-1

Radiation Protection Series

The Australian Radiation Protection and Nuclear Safety Agency (ARPANSA) publishes Fundamentals, Codes and Guides in the Radiation Protection Series (RPS), which promote national policies and practices that protect human health and the environment from harmful effects of radiation. ARPANSA develops these publications jointly with state and territory regulators through the Radiation Health Committee (RHC), which oversees the preparation of draft policies and standards with the view of their uniform implementation in all Australian jurisdictions. Following agreement and, as relevant, approvals at the Ministerial level, the RHC recommends publication to the Radiation Health and Safety Advisory Council, which endorses documents and recommends their publication by the CEO of ARPANSA.

To the extent possible and relevant for Australian circumstances, the RPS publications give effect in Australia to international standards and guidance. The sources of such standards and guidance are varied and include the International Commission on Radiological Protection (ICRP); the International Commission on Non-Ionizing Radiation Protection (ICNIRP); the International Atomic Energy Agency (IAEA); and the World Health Organization (WHO).

Fundamentals set the fundamental principles for radiation protection and describe the fundamental radiation protection, safety and security objectives. They are written in an explanatory and non-regulatory style and describe the basic concepts and objectives of international best practice.

Codes are regulatory in style and may be referenced by regulations or conditions of licence. They contain either general safety or security requirements which may be applicable for all dealings with radiation, or practice-specific requirements. They provide overarching requirements and are expressed as 'must' statements which are to be satisfied to ensure an acceptable level of safety and/or security.

Guides provide recommendations and guidance on how to comply with the Codes or apply the principles of the Fundamentals. They are written in an explanatory and non-regulatory style and indicate the measures recommended to provide good practice. They are generally expressed as 'should' statements.

These three categories of publications are informed by public comment during drafting and are subject to a process of assessment of regulatory impact.

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Public Consultation Draft

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This publication was prepared jointly with the *Radiation Health Committee*. The *Radiation Health and Safety Advisory Council* advised the CEO to adopt the Code.

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The mission of ARPANSA is to protect the Australian people and the environment from the harmful effects of radiation.

Published by the Chief Executive Officer of ARPANSA in XXX 201X

FOREWORD

The management of risks from ionising radiation requires actions that are based on fundamental principles of radiation protection, safety and security. The *Fundamentals for Protection Against Ionising Radiation (2014)* (RPS F-1) was published as part of ARPANSA's Radiation Protection Series (RPS) to provide an understanding of the effects of ionising radiation and associated risks for the health of humans and of the environment. RPS F-1 is the top tier document in the Australian national framework to manage risks from ionising radiation and explains how radiation protection, safety and security can work individually and collectively to manage such risks. Finally, it presents ten principles and their application in management of radiation risks.

RPS F-1 acknowledges that activities involving radiation are introduced for a purpose, and the regulatory framework should not unduly limit justified use of radiation. An exposure arising from the planned operation of a source or from a planned activity that causes exposure to a source is called a 'planned exposure' and in these planned exposure situations, some level of exposure can be expected to occur.

This *Code for Radiation Protection in Planned Exposure Situations (2016)* sets out the requirements in Australia for the protection of occupationally exposed persons, the public and the environment in planned exposure situations. The primary means of controlling exposure in planned exposure situations is by good design of facilities, equipment, operating procedures and through training – all of which contribute to optimisation of protection.

ARPANSA, jointly with state and territory regulators in the Radiation Health Committee (RHC), has developed this Code based on the 'requirements' relating to planned exposure situations described in the Safety Requirements of the International Atomic Energy Agency (IAEA); *Radiation Protection and Safety of Radiation Sources: International Basic Safety Standards General Safety Requirements Part 3, GSR Part 3* [IAEA 2014], generally referred to as the Basic Safety Standards or BSS.

This Code, and its sister *Code on Radiation Protection in Medical Exposure Situations (2012)* (RPS C-3), cover all planned exposures. It is expected that Codes for existing exposure situations and emergency exposure situations other than where the emergency situation arises from the planned activity, will be dealt with in further publications of the RPS.

This publication, together with RPS F-1, supersede the *Recommendations for Limiting Exposure to Ionizing Radiation (1995)* and *National Standard for Limiting Occupational Exposure to Ionizing Radiation (republished 2002)* (RPS1). As RPS 1 was a joint publication with the National Occupational Health and Safety Commission, the predecessor of Safe Work Australia (SWA), formal agreement was sought, and was received, from SWA to withdraw RPS 1.

This Code is intended to complement the requirements of the relevant Work Health and Safety legislation in each jurisdiction. The relevant regulatory authority should be contacted should any conflict of interpretation arise. A listing of such authorities is provided at www.arpansa.gov.au/Regulation/Regulators.

Carl-Magnus Larsson
CEO of ARPANSA

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CONTENTS

| | |
|---|-----------|
| Foreword | i |
| 1. Introduction | 1 |
| 1.1 Citation | 1 |
| 1.2 Background | 1 |
| 1.3 Purpose | 2 |
| 1.4 Scope | 2 |
| 1.5 Interpretation | 3 |
| 2. Objectives of Radiation Protection for Planned Exposure Situations | 4 |
| 2.1 Justification | 4 |
| 2.2 Optimisation | 6 |
| 2.3 Aligning safety and security objectives | 7 |
| 2.4 A graded approach to implementation | 8 |
| 2.5 The role of the Responsible Person | 8 |
| 3. Safety Requirements for Planned Exposure Situations | 9 |
| 3.1 General Requirements | 9 |
| 3.2 Requirements for Occupational Exposure | 14 |
| 3.3 Requirements for Public and Environmental Exposure | 17 |
| Schedule A Dose limits for occupationally exposed persons | 20 |
| Schedule B Dose limits for members of the public | 21 |
| Appendix 1 Derivation of Planned Exposure Code Clauses from GSR Part 3 Requirements | 22 |
| Appendix 2 The ten principles of radiation risk management from the <i>Fundamentals for Protection Against Ionising Radiation (2014)</i> | 24 |
| Glossary | 25 |
| References | 33 |
| Index | 34 |

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1. INTRODUCTION

1.1 Citation

This publication may be cited as the *Planned Exposure Code (2016)*.

1.2 Background

Australia's 1995 *Recommendations for limiting exposure to ionizing radiation, and National Standard for Limiting Occupational Exposure to Ionizing Radiation (republished March 2002)*, were based on the 1990 recommendations of the International Commission on Radiological Protection (ICRP 1990). The ICRP published updated recommendations in its 2007 *Recommendations of the International Commission on Radiological Protection, ICRP Publication 103* (ICRP 2007). The recommendations in *ICRP 103* take a consistent approach for all types of radiation **exposure** situations, with the central consideration being the **optimisation of radiation protection**.

The International Atomic Energy Agency (IAEA) *Fundamental Safety Principles, Safety Fundamentals No. SF-1*, published in 2006 [IAEA 2006], together with the ICRP *Publication 103* recommendations and the guidance on nuclear **security** developed by the IAEA in collaboration with its Member States, have informed the development of the ARPANSA Radiation Protection Series publication RPS F-1, *Fundamentals for Protection against Ionising Radiation* [ARPANSA 2014]. This publication sets out the underlying principles that form the basis of the system of radiation protection used to manage risks from **ionising radiation** in Australia. It is referred to as the *Fundamentals* in this Code.

The *Fundamentals* describe the basic concepts and objectives of international best practice for Australia in relation to radiation protection. Section 2 of this Code outlines the relationship between the *Fundamentals* and the management of radiation risks in **planned exposure situations**. The Code is based on the relevant requirements of the IAEA. *Radiation Protection and Safety of Radiation Sources: International Basic Safety Standards General Safety Requirements Part 3, GSR Part 3* [IAEA 2014], which in a regulatory style (as 'shall' statements), integrates ICRP's *Publication 103* with the IAEA safety standards.

The requirements in Section 3 of this Code are derived from those listed in GSR Part 3 for planned exposure situations. Appendix 1 lists the requirements cross-referenced to GSR Part 3. GSR Part 3 is published on the [IAEA website](#).

For national uniformity purposes, the numbered paragraphs within Section 3 of this Code may be applied across all jurisdictions, either singly or *in toto*, as licence conditions on authorised **practices** for users of **radiation sources**, should the **relevant regulatory authority** so require.

34 1.3 Purpose

35 The purpose of this document is to set out the requirements in Australia for the protection of
36 **occupationally exposed persons**, the public and the **environment** from the harmful effects of
37 ionising radiation in planned exposure situations.

38 This Code is directed principally to the **Responsible Person** who conducts an activity that
39 results in planned exposures, and sets out the measures that must be put in place for radiation
40 protection in such situations.

41 Relevant regulatory authorities around Australia will use this document in the regulation of
42 radiation practices in planned exposure situations.

43 1.4 Scope

44 This Code applies to planned exposure situations and the control of **occupational exposure**,
45 **public exposure** and **environmental exposure**. The requirements for planned exposure
46 situations apply to the following practices:

- 47 (a) The production, supply and transport of **radioactive material** and of devices that contain
48 radioactive material, including **sealed** and unsealed sources
- 49 (b) The production and supply of devices that generate radiation, including linear
50 accelerators, cyclotrons, and fixed and mobile radiography equipment
- 51 (c) Any activities within the nuclear fuel cycle that involve or could involve exposure to
52 radiation or exposure due to radioactive material
- 53 (d) The use of radiation or radioactive material for medical, industrial, veterinary,
54 agricultural, legal or security purposes
- 55 (e) The use of radiation or radioactive material for education, training or research, including
56 any activities relating to such use that involve or could involve exposure to radiation or
57 exposure due to radioactive material
- 58 (f) The mining and processing of raw materials that involve exposure due to radioactive
59 material
- 60 (g) Any other practice as specified by the relevant regulatory authority.

61 The requirements of this Code should be applied using a **graded approach**. A licensee also
62 needs to comply with any requirements specified by the relevant regulatory authority,
63 including the need for a Safety Assessment (clauses 3.1.21 to 3.1.23). Not all requirements
64 specified in this Code are relevant for every practice or source, or for all the actions specified in
65 3.1.9.

66 This Code does not apply to:

- 67 (a) **existing exposure situations**
- 68 (b) **emergency exposure situations**, except for emergency situations arising from the
69 planned activity
- 70 (c) patients undergoing medical diagnosis or therapy involving radiation

- 71 (d) participants in research involving exposure of human volunteers to radiation
72 (e) non-occupational exposure received as a consequence of assisting an exposed patient
73 (f) dealings with material below the **exemption** limit prescribed by the relevant regulatory
74 authority
75 (g) dealings with bulk amounts¹ of material below the **clearance level** prescribed by the
76 relevant regulatory authority.

77 **1.5 Interpretation**

78 The presence of the term 'must' when it appears in this Code indicates that the requirements
79 to which it refers is mandatory.

80 Each of the terms in bold type on first use has the meaning given in the Glossary together with
81 any amplification given in this Code. In particular, the term 'radiation' means 'ionising
82 radiation', as defined in the Glossary.

83

84

¹ A bulk amount is considered to be more than about the order of a tonne.

85 2. OBJECTIVES OF RADIATION PROTECTION FOR PLANNED 86 EXPOSURE SITUATIONS

87 The *Fundamentals* outline the system of radiation protection in Australia. Section 4 of the
88 *Fundamentals* describes the ten principles that guide actions to manage radiation risks to
89 protect human health and the environment from the harmful effects of ionising radiation,
90 namely:

- 91 1. Clear division of responsibilities
- 92 2. Legislative and regulatory framework
- 93 3. Leadership and management for safety
- 94 4. Justification
- 95 5. Optimisation of protection
- 96 6. Limitation of risks
- 97 7. Protection of present and future generations
- 98 8. Prevention of **accidents** and malicious acts
- 99 9. Emergency preparedness and response
- 100 10. Protective actions to reduce existing or unregulated radiation risks.

101 The wording of each of these principles can be found in Appendix 2.

102 The approach to radiation protection taken in the *Fundamentals* is based on three types of
103 radiation exposure situations: planned, emergency, and existing exposure, consistent with the
104 [Recommendations of the International Commission on Radiological Protection, ICRP](#)
105 [Publication 103](#) [ICRP 2007].

106 A planned exposure situation arises from the deliberate introduction or operation of a source,
107 or from a planned activity that results in an exposure from a source. In such situations,
108 radiation protection can be planned in advance before exposures occur and the magnitude
109 and extent of exposures can be reasonably predicted.

110 The approach to managing radiation risks in planned exposure situations is guided by
111 principles 1-8. Principles 9 and 10, concerning protective actions to reduce emergency and
112 existing or unregulated radiation risks, are covered in corresponding RPS publications on
113 radiation protection in emergency and existing situations.

114 The primary means of controlling exposure in planned exposure situations is by good design of
115 facilities, equipment and operating procedures. In that manner, protection of those exposed
116 (e.g. workers and the public, and organisms in the natural environment) can be **optimised** (see
117 2.2). In the case of workers and the public, **dose** limits are set and must be complied with in
118 order to ensure there is an adequate level of radiation protection.

119 2.1 Justification

120 Regulation of planned exposure situations in Australia is well established. Each state and
121 territory has its own regulatory body to oversee dealings with ionising radiation by the private
122 sector and internal government departments within their respective jurisdictions. ARPANSA

123 regulates Commonwealth entities around Australia and in some overseas locations. In
124 consultation with the other jurisdictions, each regulatory body ensures that:

- 125 • provision is made for:
 - 126 - the **justification** of any type of practice
 - 127 - review of the justification, as necessary
- 128 • only **justified** practices are authorised.

129 However, the following practices are deemed to be not justified:

- 130 • practices, except for justified practices involving **medical exposure**, which result in an
131 increase in activity, by the deliberate addition of radioactive substances or by activation, in
132 food, feed, beverages, cosmetics or any other commodity or product intended for
133 ingestion, inhalation or percutaneous intake by, or application to, a person
- 134 • practices involving the use of radiation or radioactive substances in commodities or in
135 consumer products such as toys and personal jewellery or adornments, which result in an
136 increase in activity, by the deliberate addition of radioactive substances or by activation
- 137 • human imaging using radiation that is performed as a form of art or for publicity purposes
- 138 • human imaging using radiation for theft detection purposes.

139 Human imaging using radiation that is performed for occupational, legal or health insurance
140 purposes, and is undertaken without reference to clinical indication, is usually considered to
141 be not justified. If, in exceptional circumstances, the relevant regulatory authority decides that
142 such human imaging for specific practices is justified, the requirements of this Code will apply.

143 Human imaging using radiation for the detection of concealed objects for anti-smuggling
144 purposes is normally deemed to be not justified. If, in exceptional circumstances, the relevant
145 regulatory authority decides that the justification of such human imaging is to be considered,
146 the requirements of this Code will apply.

147 Only the relevant regulatory authority will determine if human imaging using radiation for the
148 detection of concealed objects that can be used for criminal acts, and that pose a national
149 security threat, is justified. Where the relevant regulatory authority deems such human
150 imaging to be justified, the requirements of this Code will apply.

151 Procedures with inspection imaging devices in which radiation is used to expose persons for
152 the purpose of detection of concealed weapons, contraband or other objects on or within the
153 body is considered to give rise to public exposure.

154 2.2 Optimisation and Limitation

155 2.2.1 Dose Constraints and dose limits

156 In planned exposure situations, a **dose constraint** provides a prospective source-related value
157 of individual dose, which is set below the **dose limit**². It is a tool to be established and used in
158 the optimisation of **protection and safety** by the person or organisation responsible for a
159 source, facility or an activity. Dose constraints are not dose limits but will support actions to
160 prevent dose limits to be exceeded; however, exceeding a dose constraint does not represent
161 non-compliance with regulatory requirements but could result in follow-up actions.

162 For occupational exposure the dose constraint is a value of individual dose used to narrow the
163 range of options for managing the exposure such that only options resulting in a dose below
164 the constraint are considered in the planning process. Actual doses are, thus, normally
165 expected to be below the dose constraint.

166 For public exposure in planned exposure situations, the regulatory body ensures the
167 establishment or approval of dose constraints, taking into account the characteristics of the
168 site and of the source, facility or activity, the scenarios for exposure and the views of
169 interested parties. Measures should then be undertaken to optimise protection at or below
170 the dose constraint and, as for occupational exposure, actual exposures are normally expected
171 to be below the constraint.

172 After exposures have occurred, the dose constraint may be used as a benchmark for assessing
173 the suitability of the optimised strategy for protection and safety (referred to as the protection
174 strategy) that has been implemented and for making adjustments as necessary. The setting of
175 the dose constraint needs to be considered in conjunction with other health and safety
176 provisions and the technology available.

177 2.2.2 Risk Constraints

178 Exposures may be either certain or almost certain to occur, or potential which means that they
179 are not expected to occur but may do so under certain circumstances. Such **potential**
180 **exposures** may be more appropriately approached by constraining the risk, or setting a risk
181 target that e.g. outlines the requirements for protective capability of a disposal facility for
182 radioactive waste in the distant future. The risk constraint or target can be formulated as the
183 product of probability of the exposure, and resulting consequence. Optimisation can also be
184 applied to reduce the risk. Dose constraints and risk constraints or targets can be used in
185 combination. The ambition is to reduce all doses to levels that are as low as reasonably
186 achievable, economic and societal factors being taken into account.

187

² Dose limits for occupationally exposed persons and for members of the public are given in Schedules A and B, respectively, of this Code.

188 2.3 Aligning safety and security objectives

189 Safety measures and security measures have in common the aim of protecting human life and
190 health and the environment. These measures need to be applied, as necessary and
191 appropriate, to all sources, facilities and activities, and to radiation sources and radioactive
192 material in any form.

193 The **safety** objective is the same as the objective of radiation protection, i.e. to protect people
194 and the environment from the harmful effects of radiation. The *Fundamentals* state,
195 consistent with the IAEA [Fundamental Safety Principles](#) [IAEA 2006], that measures should be
196 taken to:

- 197 (a) Restrict the likelihood of events that might lead to a loss of control over a nuclear
198 reactor core, nuclear chain reaction, radioactive source or any other source of radiation.
- 199 (b) Control the radiation exposure of people and the release of radioactive material to the
200 environment.
- 201 (c) Mitigate the consequences of such events if they were to occur.

202 The security objective can be described in similar terms, placing emphasis on the protection of
203 people, property, society and the environment, from harmful effects of radiation following a
204 security event. It links in with the protective elements of the *Code of Practice for the Security*
205 *of Radioactive Sources (2007)* (RPS11), the objectives of which are to:

- 206 (a) Achieve and maintain a high level of safety and security of radioactive sources.
- 207 (b) Prevent unauthorised access or damage to, and loss, theft or unauthorised transfer of,
208 radioactive sources, so as to reduce the likelihood of accidental harmful exposure to
209 such sources or the malicious use of such sources to cause harm to individuals, society
210 or the environment.
- 211 (c) Mitigate or minimise the radiological consequences of any accident or malicious act
212 involving a radiation source.

213 It is important that safety and security measures are designed and implemented in an
214 integrated manner so that security measures do not compromise safety and safety measures
215 do not compromise security.

216 Security infrastructure and safety infrastructure need to be developed, as far as possible, in a
217 well-coordinated manner. All organisations involved need to be made aware of the
218 commonalities and the differences between safety and security so as to be able to factor both
219 into development plans.

220 The synergies between safety (this Code) and security (RPS11) have been developed so that
221 safety and security complement and enhance one another.

222

223 2.4 A graded approach to implementation

224 The requirements of this Code are intended to be applied in accordance with a graded
225 approach, wherein the protective measures to be implemented are commensurate with the
226 radiation hazard associated within the planned exposure situation. Not all the requirements of
227 this Code are relevant for every practice or source, or for all the actions specified in clause
228 3.1.10.

229 The concept of a graded approach relating to the implementation of the requirements in this
230 Code refers to Requirement 6 of GSR Part 3, which states that:

231 *The application of the requirements of these Standards in planned exposure situations*
232 *shall be commensurate with the characteristics of the practice or the source within a*
233 *practice, and with the likelihood and magnitude of exposures.*

234 2.5 The role of the Responsible Person

235 As defined in the glossary of this Code, the Responsible Person will be, generally, the person
236 who holds the **authorisation** to deal with a source of radiation and will therefore have
237 management responsibility over the source of radiation along with control over who may use
238 it.

239 The Responsible Person has the responsibility for setting up and implementing the technical
240 and organisational measures necessary for protection and safety for the practices and sources
241 for which the relevant regulatory authority authorises them. The Responsible Person may
242 designate a suitably qualified person to carry out tasks relating to these responsibilities but the
243 Responsible Person retains the prime responsibility for protection and safety.

244 The Responsible Person is responsible for maintaining control over the sources of exposure for
245 the protection of:

- 246 • workers who are occupationally exposed
- 247 • the public, and
- 248 • the environment.

249 Rather than classify workers, *ICRP 103* recommends the classification of work areas as
250 **controlled areas** and **supervised areas**.

251 While the responsibility for protection and safety remains consistent across the range of use of
252 radiation sources, the magnitude of the task to maintain protection and safety will vary
253 considerably. Clearly in accordance with a graded approach as outlined in clause 2.4 above,
254 the technical and organisational measures needed for protection and safety of a low activity
255 calibration source are far less than those for a sterilisation plant or a reactor, or for a dental
256 X-ray unit than they would be for a high energy linear accelerator.
257

258 3. SAFETY REQUIREMENTS FOR PLANNED EXPOSURE 259 SITUATIONS

260 This section of the Code outlines the general requirements on the Responsible Person (section
261 3.1) and more specific requirements that relate to occupational exposure (section 3.1) and
262 exposure of the public and the environment (section 3.3).

263 The numbered paragraphs have varying degree of applicability depending on type of source,
264 activity or facility, and the specifics of the relevant legal framework. They should be applied in
265 a manner that is commensurate with the hazard and with the nature of the source, activity or
266 facility. They can be used as licence conditions individually or in their entirety by the relevant
267 regulatory authority or authorities.

268 3.1 General Requirements

Application of the principles of radiation protection

269 3.1.1 The Responsible Person must ensure protection and safety in planned exposure
270 situations.

271 3.1.2 The Responsible Person must, commensurate with the radiation risks associated with
272 the exposure situation, apply the principles of radiation protection to ensure that:

273 (a) no practice is undertaken unless it is justified

274 (b) protection and safety is optimised

275 (c) no occupationally exposed person under their care exceeds the dose limits
276 specified in Schedule A

277 (d) no member of the public exceeds the dose limits specified in Schedule B.

278 3.1.3 The Responsible Person must ensure protection from exposure to radiation by the
279 application of radiation control measures that may include:

280 (a) elimination of the radiation exposure hazard

281 (b) incorporation of engineered controls to reduce radiation levels and intakes of
282 radioactive materials in the workplace

283 (c) restricting access to radiation by designation of controlled and supervised areas

284 (d) application of administrative controls through work procedures, training and
285 installation of warning signs and labels

286 (e) the use of appropriate personal protective equipment.
287

Radiation Management Plan

- 288 3.1.4 The Responsible Person must ensure that:
- 289 (a) a radiation management plan appropriate for the exposure situation³ is
- 290 developed, documented, resourced, implemented and regularly reviewed, and
- 291 (b) the radiation management plan implemented in accordance with sub-clause (a):
- 292 (i) adopts objectives for protection and safety in accordance with the
- 293 requirements of this Code
- 294 (ii) applies measures for protection and safety that are commensurate with the
- 295 radiation risks associated with the exposure situation
- 296 (iii) is adequate to ensure compliance with the requirements of this Code.
- 297 3.1.5 The Responsible Person must ensure the radiation management plan addresses
- 298 protection commensurate with the level of radiation risk that it seeks to mitigate of:
- 299 (a) occupationally exposed persons
- 300 (b) members of the public
- 301 (c) the environment.
- 302 3.1.6 The Responsible Person must:
- 303 (a) permit access by authorised representatives of the relevant regulatory authority to
- 304 carry out inspections of their:
- 305 (i) facilities
- 306 (ii) activities, and
- 307 (iii) protection and safety records
- 308 (b) cooperate in the conduct of inspections specified in sub-clause (a).
- 309 3.1.7 The Responsible Person must ensure that all necessary resources for implementing the
- 310 radiation management plan are provided, including:
- 311 (a) personal protective equipment
- 312 (b) safety devices
- 313 (c) radiation monitoring equipment.
- 314 3.1.8 The Responsible Person must ensure that a **qualified expert** is identified and is
- 315 consulted as necessary on the proper observance of this Code.
- 316

³ The protection and safety elements of the radiation management plan are to be commensurate with the complexity of and the radiation risks associated with the activity in a graded manner.

Management for protection and safety

- 317 3.1.9 The Responsible Person must ensure that protection and safety are effectively
318 integrated into the overall management system of the organisations for which they are
319 responsible.
- 320 3.1.10 Unless specifically exempted by the relevant regulatory authority, a person must not,
321 other than in accordance with the requirements of this Code and relevant legislation:
322 (a) adopt, introduce, conduct, discontinue or cease a practice, or
323 (b) as applicable, mine, extract, process, design, manufacture, construct, assemble,
324 install, acquire, import, export, supply, provide, distribute, loan, hire, receive, site,
325 locate, commission, possess, use, operate, maintain, repair, transfer, decommission,
326 disassemble, transport, store or dispose of a source within a practice.
- 327 3.1.11 Any person intending to carry out any of the actions specified in clause 3.1.10 must:
328 (a) submit a notification to the relevant regulatory authority of such an intention
329 (b) unless notification alone is sufficient, apply to the relevant regulatory authority
330 for authorisation
331 (c) not carry out any of the actions specified in clause 3.1.10 until the relevant
332 regulatory authority issues the relevant authorisation.
333

Optimisation of protection and safety

- 334 3.1.12 The Responsible Person must ensure protection of people and the environment from
335 exposure to radiation by the application of radiation control measures that are
336 optimised taking into account:
337 (a) the exposures controlled
338 (b) the social and economic factors
339 (c) the impact on beneficial uses of radiation.
- 340 3.1.13 The Responsible Person must ensure that radiation protection is optimised by the
341 adoption of appropriate dose constraints into the radiation management plan during:
342 (a) all stages of development and operation of the practice
343 (b) the design, construction and operation of the workplace
344 (c) design and implementation of work procedures.
- 345 3.1.14 The Responsible Person must for each dose constraint that has been adopted,
346 demonstrate that:
347 (a) the level of protection achieved is compatible with that constraint, and
348 (b) an appropriate review is undertaken if the constraint has been exceeded.

Prevention and mitigation of accidents

- 349 3.1.15 The Responsible Person must:
- 350 (a) ensure that when any person reports a matter that may compromise radiation
- 351 protection, appropriate action is taken to investigate and, if necessary, rectify the
- 352 matter
- 353 (b) take appropriate action in the event of an **incident** or accident as set out in the
- 354 radiation management plan
- 355 (c) report without delay to the relevant regulatory authority each incident or
- 356 accident that exceeds criteria specified in the radiation management plan.
- 357 3.1.16 In the event of an incident or accident, the Responsible Person must advise the
- 358 relevant regulatory authority as soon as practicable of:
- 359 (a) the cause of the incident or accident
- 360 (b) the consequences of the incident or accident
- 361 (c) the steps taken to remedy the situation
- 362 (d) the steps taken to prevent a recurrence.
- 363

Safety assessment

- 364 3.1.17 The Responsible Person must conduct a safety assessment that is either generic or
- 365 specific to the practice or source for which they are responsible.
- 366 3.1.18 The Responsible Person must ensure that the safety assessment is documented and,
- 367 where appropriate, is independently reviewed under the relevant management
- 368 system.
- 369 3.1.19 Before the granting of an authorisation, the Responsible Person must submit the
- 370 safety assessment to the relevant regulatory authority for review and assessment.
- 371

Record keeping

- 372 3.1.20 The Responsible Person must ensure that a record keeping system is implemented that
- 373 includes the following:
- 374 (a) authorisations granted by the relevant regulatory authority
- 375 (b) the radiation management plan
- 376 (c) details of training courses and of participation by occupationally exposed persons
- 377 (d) details of radiation monitoring and dose assessment

- 378 (e) inventories of radiation sources and radioactive waste
- 379 (f) details of incidents and accidents involving exposure to radiation and of corrective
380 measures taken.
- 381 3.1.21 The Responsible Person must ensure that records kept under this Code are available
382 for inspection by the relevant regulatory authority.
- 383 3.1.22 The Responsible Person must ensure that records of doses assessed to have been
384 received by an occupationally exposed person, including details of monitoring results
385 and dose calculation methods, are kept:
- 386 (a) during the working life of the occupationally exposed person
- 387 (b) afterwards for not less than 30 years after the last dose assessment
- 388 (c) at least until the occupationally exposed person reaches, or would have reached,
389 the age of 75 years.
- 390 3.1.23 When a practice terminates, the Responsible Person must pass to the relevant
391 regulatory authority:
- 392 (a) the records of radiation doses assessed to have been received by:
- 393 (i) occupationally exposed persons under their care
- 394 (ii) members of the public
- 395 (b) any other records specified by the relevant regulatory authority.
- 396 3.1.24 The Responsible Person must:
- 397 (a) keep records relating to exposure of the workforce
- 398 (b) provide a copy of the dose record of an occupationally exposed person to that
399 person periodically, on request and on termination of employment
- 400 (c) provide details of the doses estimated to have been received by an occupationally
401 exposed person to the relevant regulatory authority or its approved central record
402 keeping agency.
403

Radiation generators and radioactive sources

- 404 3.1.25 The Responsible Person must ensure that:
- 405 (a) when a radioactive source is not in use, it is stored in an appropriate manner for
406 protection and safety
- 407 (b) arrangements are made promptly for the safe management of and control over
408 radiation generators and radioactive sources once it has been decided to take
409 them out of use.
410

- 411 3.1.26 Where the relevant regulatory authority approves the use of radiation to expose
412 persons for the purpose of detection of concealed weapons, contraband or other
413 objects on or within the body, the Responsible Person must ensure that:
- 414 (a) the requirements for public exposure in planned exposure situations are applied
 - 415 (b) optimisation of protection and safety is subject to any dose constraints for public
416 exposure set by the relevant regulatory authority
 - 417 (c) all persons who are to undergo procedures with inspection imaging devices in
418 which ionising radiation is used are informed of the possibility of requesting the
419 use of an alternate inspection technique that does not use ionising radiation,
420 where available
 - 421 (d) any inspection imaging device used for the detection of concealed objects on or
422 within the body, whether it is manufactured in or imported into the jurisdiction in
423 which it is used, conforms to applicable standards of the:
 - 424 (i) International Electrotechnical Commission, or
 - 425 (ii) International Organization for Standardization, or
 - 426 (iii) equivalent national standards.

427 3.2 Requirements for Occupational Exposure

428 The requirements relating to occupational exposure in planned exposure situations apply to
429 occupational exposure:

- 430 • due to a practice or a source within a practice,
- 431 • as required in emergency exposure situations, and
- 432 • as required for existing exposure situations.

433 For exposure due to natural sources, these requirements for occupational exposure in planned
434 exposure situations apply, as appropriate, only to the exposure situations as follows:

- 435 • Exposure due to material in any practice specified in the Scope of this Code where the
436 activity concentration in the material of any radionuclide in the uranium decay chain
437 or the thorium decay chain is greater than 1 Bq/g or the activity concentration of ^{40}K is
438 greater than 10 Bq/g
- 439 • Exposure due to ^{222}Rn and to ^{222}Rn progeny and due to ^{220}Rn and to ^{220}Rn progeny in
440 workplaces in which occupational exposure due to other radionuclides in the uranium
441 decay chain or the thorium decay chain is controlled as a planned exposure situation,
442 and

- 443 • Exposure due to ²²²Rn and to ²²²Rn progeny where the annual average activity
444 concentration of ²²²Rn in air in workplaces remains above the reference level
445 established by the relevant regulatory authority.

446

Responsibilities of the Responsible Person for the protection of workers

447 3.2.1 The Responsible Person must ensure that the system for radiation monitoring for
448 occupational exposures provides for the establishment and maintenance of a
449 monitoring program that addresses:

- 450 (a) identification of sources of radiation exposure and pathways
451 (b) radiation dose assessment allowing for all exposure pathways
452 (c) detection of changes in the circumstances of exposure
453 (d) acquisition of sufficient information to enable optimisation measures to be
454 adopted and reviewed.

455 3.2.2 The Responsible Person must ensure that persons exposed to radiation from sources
456 within the practice that are not required by or directly related to their work have the
457 same level of protection against such exposure as members of the public.
458

Compliance by workers

- 459 3.2.3 The Responsible Person must ensure that each occupationally exposed person under
460 their care complies with, to the extent that the occupationally exposed person is
461 capable, all reasonable measures to control and assess exposure to radiation in the
462 workplace, including:
- 463 (a) the radiation protection requirements specified in the radiation management plan
464 (b) the legitimate instructions of the Responsible Person in relation to radiation
465 protection
466 (c) participation in training related to radiation protection, as required
467 (d) proper use of the training received to ensure their own health and safety and that
468 of other persons
469 (e) proper use of protective and monitoring equipment provided
470 (f) upon employment, provide to the Responsible Person, or assist the Responsible
471 Person to obtain, details of their prior occupational radiation exposure, as necessary
472 (g) reporting to the Responsible Person any matter of which they are aware that may
473 compromise radiation protection.
474

Cooperation between Responsible Persons

475 3.2.4 Where applicable, the Responsible Person must engage with other Responsible
476 Persons at the same site⁴ to ensure coordination of radiation protection efforts at the
477 site.
478

Assessment of occupational exposure and workers' health

479 3.2.5 The Responsible Person must arrange for appropriate monitoring to the extent
480 necessary to:
481 (a) demonstrate the effectiveness of the measures for protection and safety, and
482 (b) assess intakes of radionuclides and the committed **effective doses**.
483 3.2.6 The Responsible Person must keep sufficient evidence to be able to demonstrate at
484 any time that:
485 (a) all doses estimated to have been received by occupationally exposed persons
486 under their care are below the relevant limit in Schedule A
487 (b) all doses to members of the public are below the relevant limit in Schedule B
488 (c) optimisation of radiation protection has been carried out.
489

Information, instruction and training

490 3.2.7 The Responsible Person must provide induction training, refresher training and other
491 relevant information to occupationally exposed persons.
492 3.2.8 The Responsible Person must ensure that the type and level of training required and
493 its method of presentation is:
494 (a) consistent with the characteristics of the occupationally exposed persons
495 (b) commensurate with the radiation risks associated with the workplace
496 (c) documented, with records of participation retained in accordance with this Code.
497 3.2.9 The Responsible Person must ensure that all personnel engaged in activities relevant
498 to protection and safety have appropriate education, training and qualification so that
499 they:
500 (a) understand their responsibilities
501 (b) can perform their duties competently, with appropriate judgement and in
502 accordance with the Responsible Person's radiation management plan.

⁴ For example, as a member of a site radiation management committee.

503 3.2.10 The Responsible Person must document the induction and training programs
504 conducted in accordance with the radiation management plan and record
505 participation.
506

Conditions of service

507 3.2.11 The Responsible Person must make all reasonable efforts to provide workers with
508 suitable alternate employment in circumstances for which it has been determined,
509 either by the relevant regulatory authority or in the framework of the program for
510 workers' **health surveillance** in accordance with the requirements of this Code, that
511 workers, for health reasons, may no longer continue in employment under conditions
512 where that person may be exposed to radiation exceeding the effective dose limit for
513 members of the public specified in Schedule B.
514

Special arrangements for protection and safety for female workers and for persons under 16 years of age

515 3.2.12 The Responsible Person must ensure that when an occupationally exposed female has
516 declared to the Responsible Person that she is pregnant, additional controls are
517 considered to protect the embryo/foetus to a level similar to that provided for
518 members of the public.

519 3.2.13 The Responsible Person must not employ a person under the age of 16 under
520 conditions where that person may be exposed to radiation exceeding the effective
521 dose limit for members of the public specified in Schedule B.

522 3.3 Requirements for Public and Environmental Exposure

523 The requirements relating to public exposure in planned exposure situations apply to public
524 exposure due to a practice or a source within a practice. For exposure due to natural sources,
525 such requirements apply only to the following types of public exposure:

- 526 • Exposure due to material in any practice specified in the Scope of this Code where the
527 activity concentration in the material of any radionuclide in the uranium decay chain
528 or the thorium decay chain is greater than 1 Bq/g or the activity concentration of ⁴⁰K is
529 greater than 10 Bq/g, and
- 530 • Exposure due to **discharges** or due to the management of radioactive waste arising
531 from a practice involving this type of material.

532

Radioactive waste and discharges

- 533 3.3.1 The Responsible Person must ensure that disposal of radioactive material is only
534 carried out in accordance with an authorisation issued by the relevant regulatory
535 authority that takes protection of the public and the environment into account.
- 536 3.3.2 Where a practice generates radioactive waste, the Responsible Person must ensure
537 that the Radiation Management Plan specified in clause 3.1.3 includes a section on
538 Radioactive Waste Management.
539

Monitoring and reporting

- 540 3.3.3 The Responsible Person must ensure that:
- 541 (a) a monitoring program, sufficient to verify and demonstrate compliance with the
542 authorisation, is implemented to confirm that public exposure due to sources
543 under their responsibility is adequately assessed
- 544 (b) the monitoring program specified in sub-clause (a) includes monitoring of, as
545 appropriate:
- 546 (i) external exposure due to such sources
547 (ii) discharges
548 (iii) radioactivity in the environment
549 (iv) other parameters important for the assessment of public exposure
- 550 (c) appropriate records are maintained of:
- 551 (i) the results of the monitoring program
552 (ii) estimated doses to members of the public
- 553 (d) the results of the monitoring program are reported or made available to the
554 relevant regulatory authority at approved intervals, including, as applicable:
- 555 (i) the levels and composition of discharges
556 (ii) dose rates at the site boundary and in premises open to members of the
557 public
558 (iii) results of environmental monitoring
559 (iv) retrospective assessments of doses to the representative person
- 560 (e) any levels exceeding the **operational limits and conditions** relating to public and
561 occupational exposure are reported promptly to the relevant regulatory authority
562 in accordance with reporting criteria established by the relevant regulatory
563 authority

- 564 (f) any significant increase in dose rate or concentrations of radionuclides in the
565 environment that could be attributed to the authorised practice is reported
566 promptly to the relevant regulatory authority in accordance with reporting criteria
567 established by the relevant regulatory authority
- 568 (g) a capability is maintained to conduct monitoring:
- 569 (i) in an emergency
- 570 (ii) in the event of an unexpected increase in radiation levels, or
- 571 (iii) in concentrations of radionuclides in the environment due to an accident or
572 other unusual event attributed to the authorised source or facility
- 573 (h) the adequacy of the assumptions made for the assessment of public exposure and
574 the assessment for radiological environmental impacts is verified by a qualified
575 expert
- 576 (i) results from source monitoring and environmental monitoring programs and
577 assessments of doses from public exposure are made available on request, as
578 appropriate.
- 579
- 580

581 **SCHEDULE A DOSE LIMITS FOR OCCUPATIONALLY EXPOSED**
 582 **PERSONS**

583 The occupational dose limits for ionising radiation are as follows:

| Type of limit | Limit ¹ |
|-----------------------------------|---|
| Effective dose | 20 mSv per year, averaged over a period of five consecutive calendar years ² |
| Annual equivalent dose in: | |
| the lens of the eye | 20 mSv |
| the skin ³ | 500 mSv |
| the hands and feet | 500 mSv |

584 ¹ The limits apply to the sum of the relevant doses from external exposure in the
 585 specified period and the 50-year committed dose from intakes in the same period.

586 ² With the further provision that the effective dose must not exceed 50 mSv in any
 587 single year. Additionally, when a pregnancy is declared by an occupationally exposed
 588 female, the working conditions of that person should be such as to ensure that the
 589 additional dose to the embryo/foetus would not exceed about 1 mSv during the
 590 remainder of the pregnancy.

591 ³ The equivalent dose limit for the skin applies to the dose averaged over any 1 cm² area
 592 of skin, regardless of the total area exposed.

593

594 **SCHEDULE B DOSE LIMITS FOR MEMBERS OF THE PUBLIC**

595 The public dose limits for ionising radiation are as follows:

| Type of limit | Dose Limit ¹ |
|----------------------------|------------------------------|
| Effective dose | 1 mSv in a year ² |
| Annual equivalent dose in: | |
| the lens of the eye | 15 mSv |
| the skin ³ | 50 mSv |

- 596 ¹ The limits apply to the sum of the relevant doses from external exposure in the
597 specified period and the 50-year committed dose (to age 70 years for children) from
598 intakes in the same period.
- 599 ² In special circumstances, a higher value of effective dose could be allowed in a single
600 year, provided that the average over five years does not exceed 1 mSv per year.
- 601 ³ The equivalent dose limit for the skin applies to the dose averaged over any 1 cm² area
602 of skin, regardless of the total area exposed.
603

604 **APPENDIX 1 DERIVATION OF PLANNED EXPOSURE CODE**
 605 **CLAUSES FROM GSR PART 3 REQUIREMENTS**

606 The following table cross-references each clause in Section 3 of this Code to the relevant
 607 requirement in *Radiation Protection and Safety of Radiation Sources: International Basic Safety*
 608 *Standards – General Safety Requirements. IAEA Safety Standards Series No. GSR Part 3 [IAEA*
 609 *2014]. GSR Part 3 is published on the [IAEA website](#).*

| IAEA GSR Part 3 | RPS C-1 | |
|-----------------|---|---|
| Requirement | Requirement | Clause(s) |
| Requirement 1 | Application of the principles of radiation protection | 3.1.2 |
| Requirement 4 | Application of the principles of radiation protection, Radiation Management Plan, Information, instruction and training | 3.1.1, 3.1.4, 3.1.6, 3.1.8, 3.1.14, 3.2.9 |
| Requirement 5 | Radiation Management Plan, Management for protection and safety | 3.1.5, 3.1.9-3.1.10 |
| Requirement 7 | Management for protection and safety | 3.1.11 |
| Requirement 9 | Optimisation of protection and safety, Record keeping, Information, instruction and training | 3.1.12, 3.1.20-3.1.21, 3.2.10 |
| Requirement 11 | Optimisation of protection and safety | 3.1.13-3.1.14 |
| Requirement 12 | Dose limits | 3.1.2(c) and (d) |
| Requirement 13 | Safety assessment | 3.1.17-3.1.19 |
| Requirement 14 | Record keeping | 3.1.22-3.1.23 |
| Requirement 15 | Prevention and mitigation of accidents | 3.1.15 |
| Requirement 16 | Prevention and mitigation of accidents | 3.1.16 |

| IAEA GSR Part 3 | RPS C-1 | |
|-----------------|---|---------------------|
| Requirement | Requirement | Clause(s) |
| Requirement 17 | Radiation generators and radioactive sources | 3.1.25 |
| Requirement 18 | Human imaging using radiation for purposes other than medical diagnosis, medical treatment or biomedical research | 3.1.26 |
| Requirement 21 | Responsibilities of the Responsible Person for the protection of workers | 3.2.1-3.2.2 |
| Requirement 22 | Compliance by workers | 3.2.3 |
| Requirement 23 | Cooperation between Responsible Persons | 3.2.4 |
| Requirement 24 | Application of the principles of radiation protection, Radiation Management Plan | 3.1.3, 3.1.7 |
| Requirement 25 | Assessment of occupational exposure and workers' health, Record keeping | 3.1.24, 3.2.5-3.2.6 |
| Requirement 26 | Information, instruction and training | 3.2.7-3.2.8, 3.2.10 |
| Requirement 27 | Conditions of service | 3.2.11 |
| Requirement 28 | Special arrangements for protection and safety for female workers and for persons under 18 years of age undergoing training | 3.2.12-3.2.13 |
| Requirement 31 | Radioactive waste and discharges | 3.3.1-3.3.2 |
| Requirement 32 | Monitoring and reporting | 3.3.3 |

610 Requirements up to and including Requirement 33 in GSR Part 3 that are not cross-referenced
611 in the above table are obligations on the government, the regulatory body or both and are
612 therefore not included in this Code. The provisions in those Requirements are incorporated
613 into the *National Directory for Radiation Protection* (RPS 6), or the legislation of the relevant
614 regulatory authority for each Australian jurisdiction.

615 Requirements 34-42 in GSR Part 3 apply to **medical exposure** situations, Requirements 43-46
616 in GSR Part 3 apply to emergency exposure situations and Requirements 47-52 in GSR Part 3
617 apply to existing exposure situations. The provisions in those Requirements will be
618 incorporated into separate Codes in the Radiation Protection Series.

619 **APPENDIX 2 THE TEN PRINCIPLES OF RADIATION RISK**
620 **MANAGEMENT FROM THE FUNDAMENTALS FOR**
621 **PROTECTION AGAINST IONISING RADIATION (2014)**

622 The following ten principles of radiation risk management are explained in detail in Section 4
623 of the Fundamentals for Protection Against Ionising Radiation (2014) (RPS F-1):

624 1. Clear division of responsibilities

625 *The prime responsibility for management of radiation risks must rest with the person or*
626 *organisation responsible for facilities and activities that give rise to radiation risks.*

627 2. Legislative and regulatory framework

628 *An effective framework including legislation, regulation and guidance to promote*
629 *management of radiation risks, including an independent regulatory body, must be*
630 *established and sustained.*

631 3. Leadership and management for safety

632 *Effective leadership and management of radiation risks must be established and*
633 *sustained in organisations concerned with, and facilities and activities that give rise to,*
634 *radiation risks.*

635 4. Justification

636 *Facilities and activities that give rise to radiation risks must yield an overall benefit.*

637 5. Optimisation of protection

638 *Protection must be optimised so that radiation risks are as low as reasonably*
639 *achievable.*

640 6. Limitation of risks

641 *Measures for controlling radiation risks must ensure that no individual bears an*
642 *unacceptable risk of harm, and that the environment is protected.*

643 7. Protection of present and future generations

644 *People and the environment, present and future, must be protected against radiation*
645 *risks.*

646 8. Prevention of accidents and malicious acts

647 *All practical efforts must be made to prevent and mitigate accidents, and acts with*
648 *malicious intent, that may give rise to radiation risks.*

649 9. Emergency preparedness and response

650 *Arrangements must be made for emergency preparedness and response for incidents,*
651 *accidents and malicious acts that may give rise to radiation risks.*

652 10. Protective actions to reduce existing or unregulated radiation risks.

653 *Protective actions to reduce existing or unregulated radiation risks must be justified*
654 *and optimised.*

655 GLOSSARY

656 **Accident**

657 Any unintended event, including operating errors, equipment failures and other mishaps, the
658 consequences or potential consequences of which are not negligible from the point of view of
659 protection and safety.

660 **Authorisation**

661 The granting by a relevant regulatory body of written permission for a Responsible Person to
662 conduct specified activities.

663 **Clearance level**

664 A value, established by the relevant regulatory authority and expressed in terms of activity
665 concentration, at or below which regulatory control may be removed from a source of
666 radiation within a notified or authorised practice.

667 **Controlled area**

668 A defined area in which specific protection measures and safety provisions are or could be
669 required for controlling exposures or preventing the spread of contamination in normal
670 working conditions, and preventing or limiting the extent of potential exposures.

671 **Discharges**

672 Planned and controlled releases into the environment, as a legitimate practice, within limits
673 authorised by the relevant regulatory authority, of liquid or gaseous radioactive materials.

674 **Dose**

- 675 1. A measure of the energy deposited by radiation in a target.
- 676 2. Absorbed dose, committed dose (i.e. committed equivalent dose or committed effective
677 dose), effective dose, equivalent dose or organ dose, as indicated by the context.

678 **Dose constraint**

679 A prospective and source related value of individual dose (dose constraint) or of individual risk
680 (risk constraint) that is used in planned exposure situations as a parameter for the
681 optimisation of protection and safety for the source, and that serves as a boundary in defining
682 the range of options in optimisation. For occupational exposures, a constraint on individual
683 dose to workers used by Responsible Persons to set the range of options in optimising
684 protection and safety for the source. For public exposure, the dose constraint is a source
685 related value established or approved by the relevant regulatory authority, with account taken
686 of the doses from planned operations of all sources under control.

687 **Dose limit**

688 The value of the effective dose or the equivalent dose to individuals in planned exposure
689 situations that is not to be exceeded.

690 **Effective dose, E**

691 The quantity E , defined as a summation of the tissue or organ equivalent doses, each
692 multiplied by the appropriate tissue weighting factor:

$$E = \sum_T w_T \cdot H_T$$

693 where H_T is the equivalent dose in tissue or organ T, and
694 w_T is the tissue weighting factor for tissue or organ T.

695 From the definition of equivalent dose, it follows that:

$$E = \sum_T w_T \cdot \sum_R w_R \cdot D_{T,R}$$

696 where w_R is the radiation weighting factor for radiation type R, and
697 $D_{T,R}$ is the average absorbed dose in the tissue or organ T delivered by radiation
698 type R.

699 The SI unit for effective dose is joule per kilogram (J/kg), termed the sievert (Sv). An
700 explanation of the quantity is given in Annex B of *International Commission on Radiological*
701 *Protection Publication 103*.

702 Effective dose is a measure of dose designed to reflect the amount of radiation detriment
703 likely to result from the dose.

704 Effective dose cannot be used to quantify higher doses or to make decisions on the need for
705 any medical treatment relating to deterministic effects.

706 Values of effective dose from exposure for any type(s) of radiation and any mode(s) of
707 exposure can be compared directly.

708 **Emergency exposure situation**

709 A situation of exposure that arises as a result of an accident, a malicious act, or any other
710 unexpected event, and requires prompt action in order to avoid or reduce adverse
711 consequences.

712 **Environment**

713 The conditions under which people, animals and plants live or develop and which sustain all
714 life and development; especially such conditions as affected by human activities. Protection of
715 the environment includes the protection and conservation of:

- 716 • non-human species, both animal and plant, and their biodiversity
- 717 • environmental goods and services such as the production of food and feed
- 718 • resources used in agriculture, forestry, fisheries and tourism
- 719 • amenities used in spiritual, cultural and recreational activities

- 720 • media such as soil, water and air
- 721 • natural processes such as carbon, nitrogen and water cycles.

722 **Environmental exposure**

723 The exposure of wildlife. This includes exposure of animals, plants and other organisms in the
724 natural environment.

725 **Equivalent dose**

726 The quantity $H_{T,R}$, defined as:

727
$$H_{T,R} = w_R \cdot D_{T,R}$$

728 where $D_{T,R}$ is the absorbed dose delivered by radiation type R averaged over a tissue or
729 organ T, and
730 w_R is the radiation weighting factor for radiation type R.

731 When the radiation field is composed of different radiation types with different values of w_R ,
732 the equivalent dose is:

$$H_T = \sum_R w_R \cdot D_{T,R}$$

733 The SI unit for equivalent dose is joule per kilogram (J/kg), termed the sievert (Sv). An
734 explanation of the quantity is given in Annex B of *International Commission on Radiological*
735 *Protection Publication 103*.

736 Equivalent dose is a measure of the dose to a tissue or organ designed to reflect the amount of
737 harm caused.

738 Equivalent dose cannot be used to quantify higher doses or to make decisions on the need for
739 any medical treatment relating to deterministic effects.

740 Values of equivalent dose to a specified tissue or organ from any type(s) of radiation can be
741 compared directly.

742 **Exemption**

743 The determination by the relevant regulatory authority that a source or practice need not be
744 subject to some or all aspects of regulatory control on the basis that the exposure and the
745 potential exposure due to the source or practice are too small to warrant the application of
746 those aspects or that this is the optimum option for protection irrespective of the actual level
747 of the doses or risks.

748 **Existing exposure situation**

749 A situation of exposure that already exists when a decision on the need for control needs to be
750 taken. Existing exposure situations include exposure to natural background radiation that is
751 amenable to control; exposure due to residual radioactive material that derives from past
752 practices that were never subject to regulatory control; and exposure due to residual

753 radioactive material deriving from a nuclear or radiological emergency after an emergency has
754 been declared to be ended.

755 **Exposure**

756 The state or condition of being subject to radiation. External exposure is exposure to radiation
757 from a source outside the body. Internal exposure is exposure to radiation from a source
758 within the body.

759 **Graded approach**

760 An application of safety requirements that is commensurate with the characteristics of the
761 facilities and activities or the source and with the magnitude and likelihood of the exposures.

762 **Health surveillance**

763 Medical supervision intended to ensure the initial and continuing fitness of workers for their
764 intended tasks.

765 **Incident**

766 Any unintended event, including operating errors, equipment failures, initiating events,
767 accident precursors, near misses or other mishaps, or unauthorised act, malicious or non-
768 malicious, the consequences or potential consequences of which are not negligible from the
769 point of view of protection and safety.

770 **Ionising radiation**

771 For the purposes of radiation protection, radiation capable of producing ion pairs in biological
772 material(s).

773 **Justified**

774 See 'Justification'

775 **Justification**

776 For a planned exposure situation, the process of determining whether a practice is overall,
777 beneficial, i.e. whether the expected benefits to individuals and to society from introducing or
778 continuing the practice outweigh the harm (including radiation detriment) resulting from the
779 practice.

780 **Medical exposure**

781 Exposure incurred by patients as part of their own medical or dental diagnosis (diagnostic
782 exposure) or treatment (therapeutic exposure); by persons, other than those occupationally
783 exposed, knowingly, while voluntarily helping in the support and comfort of patients; and by
784 volunteers in a program of biomedical research involving their exposure.

785 **Occupational exposure**

786 Exposure of workers incurred in the course of their work.

787 **Occupationally exposed person**

788 A worker who is exposed to ionising radiation in the course of their work.

789 **Operational limits and conditions**

790 A set of rules setting forth parameter limits, the functional capability and the performance
791 levels of equipment and personnel approved by the relevant regulatory authority for safe
792 operation of an authorised facility.

793 **Optimisation**

794 For planned exposure situations, optimisation of protection (and safety) is the process of
795 determining what level of protection and safety would result in the magnitude of individual
796 doses, the number of individuals (workers and members of the public) subject to exposure and
797 the likelihood of exposure being 'as low as reasonably achievable, economic and social factors
798 being taken into account' (ALARA).

799 **Optimised**

800 See 'Optimisation'.

801 **Planned exposure situation**

802 The situation of exposure that arises from the planned operation of a source or from a planned
803 activity that results in an exposure due to a source. Since provision for protection and safety
804 can be made before embarking on the activity concerned, associated exposures and their
805 probabilities of occurrence can be restricted from the outset. The primary means of
806 controlling exposure in planned exposure situations is by good design of installations,
807 equipment and operating procedures. In planned exposure situations, a certain level of
808 exposure is expected to occur.

809 **Practice**

810 Any human activity that introduces additional sources of radiation or additional exposure
811 pathways, or that modifies the network of exposure pathways from existing sources, so as to
812 increase the exposure or the likelihood of exposure of people or the number of people
813 exposed.

814 **Protection and safety**

815 The protection of people against exposure to ionising radiation or exposure due to radioactive
816 material and the safety of sources, including the means for achieving this, and the means for
817 preventing accidents and for mitigating the consequences of accidents if they do occur. For
818 the purposes of this Code, 'protection and safety' includes the protection of people against
819 ionising radiation and safety; it does not include non-radiation-related aspects of safety.
820 'Protection and safety' is concerned with both radiation risks under normal circumstances and
821 radiation risks as a consequence of incidents, as well as with other possible direct
822 consequences of a loss of control over a nuclear reactor core, nuclear chain reaction,
823 radioactive source or any other source of radiation. Safety measures include actions to
824 prevent incidents and arrangements put in place to mitigate their consequences if they were
825 to occur.

826 **Public exposure**

827 Exposure incurred by members of the public due to sources in planned exposure situations,
828 emergency exposure situations and existing exposure situations, excluding any occupational
829 exposure or medical exposure.

830 **Qualified expert**

831 An individual who, by virtue of certification by appropriate boards or societies, professional
832 licence or academic qualifications and experience, is duly recognised as having expertise in a
833 relevant field of specialisation, e.g. medical physics, radiation protection, occupational health,
834 fire safety, quality management or any relevant engineering or safety speciality.

835 **Radiation**

836 In this Code, the term ‘radiation’ refers only to ionising radiation unless otherwise stated. For
837 the purposes of radiation protection, ionising radiation is capable of producing ion pairs in
838 biological material(s).

839 For most practical purposes, it may be assumed that strongly penetrating radiation includes
840 photons of energy above about 12 keV, electrons of energy more than about 2 MeV, and
841 neutrons.

842 For most practical purposes, it may be assumed that weakly penetrating radiation includes
843 photons of energy below about 12 keV, electrons of energy less than about 2 MeV, and
844 massive charged particles such as protons and alpha particles.

845 **Radiation protection**

846 The protection of people from harmful effects of exposure to ionising radiation, and the means
847 for achieving this.

848 **Radiation source**

849 Anything that may cause radiation exposure — such as by emitting ionising radiation or by
850 releasing radioactive substances or radioactive material — and can be treated as a single entity
851 for purposes of protection and safety.

852 **Radioactive material**

853 Scientific meaning: Material exhibiting radioactivity; emitting or relating to the emission of
854 ionising radiation or particles.

855 Legal meaning: Material designated by the relevant regulatory body as being subject to
856 regulatory control because of its radioactivity.

857 **Relevant regulatory authority**

858 The radiation protection authority or authorities designated, or otherwise recognised, for
859 regulatory purposes in connection with protection and safety relating to applications of
860 ionising radiation. A list of relevant regulatory authorities in Australia can be found on
861 ARPANSA’s website at www.arpansa.gov.au/Regulation/Regulators.

862 **Responsible person⁵**

863 In relation to any radioactive material, radiation-producing equipment, prescribed radiation
864 facility or premises on which radiation sources are stored or used means the legal person:

865 (a) having overall management responsibility including responsibility for the security and
866 maintenance of the radioactive source, radiation-producing equipment, facility or
867 premises

868 (b) having overall control over who may use the radioactive source, radiation-producing
869 equipment, facility or premises

870 (c) in whose name the radioactive source, radiation-producing equipment, facility or
871 premises would be registered if this is required.

872 **Safety**

873 For the purposes of this Code, 'safety' means the protection of people and the environment
874 against radiation risks, and the safety of facilities and activities that give rise to radiation risks.
875 'Safety' as used here includes the safety of nuclear installations, radiation safety, the safety of
876 radioactive waste management and safety in the transport of radioactive material; it does not
877 include non-radiation related aspects of safety.

878 Safety is concerned with both radiation risks under normal circumstances and radiation risks as
879 a consequence of incidents, as well as with other possible direct consequences of a loss of
880 control over a nuclear reactor core, nuclear chain reaction, radioactive source or any other
881 source of radiation. Safety measures include actions to prevent incidents and arrangements
882 put in place to mitigate their consequences if they were to occur.

883 **Sealed (radioactive) source**

884 A radioactive source in which the radioactive material is:

885 (a) permanently sealed in a capsule, or

886 (b) closely bonded and in a solid form.

887 **Security**

888 The prevention of, detection of, and response to, criminal or intentional unauthorised acts
889 involving or directed at nuclear material, other radioactive material, associated facilities, or
890 associated activities.

891 **Supervised area**

892 A defined area not designated as a controlled area but for which occupational exposure
893 conditions are kept under review, even though specific protection measures or safety
894 provisions are not normally needed.

⁵ Note: A Responsible Person has the same meaning as a Person Conducting a Business or Undertaking (PCBU), as defined in the Commonwealth *Work Health and Safety Act 2011*, who is conducting a business or undertaking that uses radiation and requires an authorisation under appropriate legislation.

895 **Unsealed (radioactive) source**

896 A radioactive source in which the radioactive material is neither:

897 (a) permanently sealed in a capsule, nor

898 (b) closely bonded and in a solid form.

899

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918
919

920 **INDEX**

921 <to be prepared>